UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. **Total Pages MAM-040** First named Inventor or Application Atsushi FUKUI Identifier

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Title of Invention

NEGATIVE ELECTRODE FOR RECHARGEABLE LITHIUM BATTERY AND METHOD FOR FABRICATION THEREOF

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application

ADDRESS TO: Commissioner for Patents **Box Patent Application** Alexandria, VA 22313-1450

- 1.

 ✓ Fee Transmittal Form
- 2.

 Specification [Total Pages 34]
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- 3. Ø Formal Drawing(s) (35 USC 113) [Total Sheets 4]
- 4. Ø Oath or Declaration [Total pages 3]
 - a.

 Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed) [Note Box 5 below]

i. DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

5.

Incorporation By Reference (useable if Box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

- 6. ☐ Microfiche Computer Program (Appendix)
- 7. Nucleotide and/or Amino Acid Sequence Submission

(if applicable, all necessary)

- a.

 Computer Readable Copy
- b. □ Paper Copy (identical to computer copy)
- c.

 Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 8. ☑ Assignment papers (cover sheet & document)
- 9. ☐ 37 CFR 3.73(b) Statement

(When there is an assignee)

- ☐ Power of Attorney
- 10. ☐ English Translation Document (if applicable)
- 11. ☑ Information Disclosure Statement (IDS) /PTO-1449
 - ☑ Copies of IDS Citations (2 docs)
- 12.

 Preliminary Amendment
- 13.

 Return Receipt Postcard (MPEP 503)
- 14.

 Assertion to Entitlement to Small Entity Status ☐ Assertion filed in prior application, status still proper and desired
- 15.

 ☐ Certified Copy of Priority Document
 - ☑ Priority of application No. 2003-090502 filed on March 28, 2003, in Japan is claimed under 35 USC 119.
 - ☐ The certified copy has been filed in prior application Serial No. *.
- 16. ☐ Other: *

OTHER

17. □ Priority of * Patent Application No. * filed * is claimed under 35 USC 119.

18. If a CONTINUING API	PLICATION, ch	eck appropriate box and supply the requisite informatio	n
□ Continuation	☐ Divisional	□ Continuation-in part (CIP)	

□ Continuation

of prior application No.: *

19. CORRESPONDENCE ADDRESS

☑ Customer Nu	mber or Bar Code Label	020374	or □ Correspo	ondence address	below
NAME	KUBOVCIK & KUBOV	CIK ·			
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FILING DATE	March 26, 2004	TEL	202-887-9023	FAX	202-887-9093



FEE TRANSMITTAL

Note: Effective October 1, 2003

Application Number	Not Yet Assigned	
Filing Date	March 26, 2004	
First Named Inventor	Atsushi FUKUI	
Group Art Unit	Not Assigned	
Examiner Name	Not Assigned	
Attorney Docket Number	MAM-040	

CLAIMS AS FILED-PART 1			SMALL ENTITY		OTHER THAN SMALL ENTITY	
FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE	RATE	FEE
BASIC FEE (37 CFR 1.16 (a))				\$385.00		\$770.00
TOTAL CLAIMS (37 CFR 1.16 (c))	<u>15</u> - 20 =		\$9.00		\$18.00	\$0.00
INDEPENDENT CLAIMS (37 CFR 1.16 (B))	<u>2</u> - 3 =		\$43.00		\$86.00	\$0.00
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16 (d))			\$145.00		\$290.00	
die wie de	ALC:	de Gera	SUB TOTAL		SUB TOTAL	\$770.00
SURCHARGE-LATE FILING FEE OR DECLARATION			\$65.00		\$130.00	
RECORDING ASSIGNMENT			\$40.00		\$40.00	\$40.00
TOTAL			i. 1	\$0.00	- TOP 13	\$810.00

METHOD OF PAYMENT (check one)						
The commissioner is hereby authorized to credit overpayments or charge insufficiencies to:		2. ☑ Payment Enclosed: ☑ Check (# 5979 for \$810.00)				
DEPOSIT ACCOUNT No.	111833	☐ Money Order ☐ Other				
DEPOSIT ACCOUNT NAME	KUBOVCIK & KUBOVCIK	Li Ouiei				

SIGNATURE OF ATTORNEY, OR AGENT				
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KTK/jbf